

#### From the INTERNATIONAL BUREAU

# **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

Arlington, VA 22202

Commissioner **US Department of Commerce** United States Patent and Trademark Office, PCT 2011 South Clark Place Room CP2/5C24

**ETATS-UNIS D'AMERIQUE** 

Date of mailing (day/month/year) in its capacity as elected Office 09 April 2001 (09.04.01) International application No. Applicant's or agent's file reference K1596-PCT PCT/EP00/07874 Priority date (day/month/year) International filing date (day/month/year) 10 August 1999 (10.08.99) 08 August 2000 (08.08.00) **Applicant** DECKMYN, Hans et al

| 1.       | The designated Office is hereby notified of its election made:  |
|----------|---|
|          | X in the demand filed with the International Preliminary Examining Authority on:  |
|          | 28 February 2001 (28.02.01)   |
|          | in a notice effecting later election filed with the International Bureau on:  |
|          |   |
|          |   |
| 2.       | The election X was  |
|          | was not   |
|          | made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b). |
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|          |   |
| <u> </u> |   |

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

Zakaria EL KHODARY

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35



(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference  |  | of Transmittal of International Search Report<br>(20) as well as, where applicable, item 5 below. |
|--|--|---|
| K1596-PCT  | ACTION   | .20) do well do; where applicable, item 5 below.  |
| International application No.  | International filing date (day/month/year)   | (Earliest) Priority Date (day/month/year)   |
| PCT/EP 00/07874  | 08/08/2000   | 10/08/1999  |
| Applicant  |  | <u> </u>  |
| K.U.LEUVEN RESEARCH & DEV  | ELOPMENT   |   |
| This International Search Report has been according to Article 18. A copy is being tra | n prepared by this international Searching Auth<br>ansmitted to the International Bureau.          | nority and is transmitted to the applicant  |
| This International Search Report consists  It is also accompanied by                   | of a total of sheets. a copy of each prior art document cited in this                              | report.   |
| Basis of the report  |  |   |
|  | international search was carried out on the bases otherwise indicated under this item.             | sis of the international application in the   |
| the international search w<br>Authority (Rule 23.1(b)).                                | as carried out on the basis of a translation of t  | he international application furnished to this  |
| b. With regard to any <b>nucleotide an</b> was carried out on the basis of the         |  | nternational application, the international search  |
|  | rnational application in computer readable forr  | m.  |
| furnished subsequently to  | this Authority in written form.  |   |
| furnished subsequently to  | this Authority in computer readble form.   |   |
|  | sequently furnished written sequence listing d<br>s filed has been furnished.                      | oes not go beyond the disclosure in the   |
| the statement that the info  | ormation recorded in computer readable form is   | s identical to the written sequence listing has been  |
| 2. X Certain claims were fou   | nd unsearchable (See Box I).   |   |
| 3. Unity of invention is lac   | king (see Box II).   |   |
| 4. With regard to the title,   |  |   |
| X the text is approved as su   | bmitted by the applicant.  |   |
| the text has been establis   | hed by this Authority to read as follows:  |   |
|  |  | •   |
|  |  |   |
| 5. With regard to the abstract,  | hmittad by the continent   |   |
| the text is approved as su<br>the text has been establis<br>within one month from the  | hed, according to Rule 38.2(b), by this Authoried date of mailing of this international search rep | ty as it appears in Box III. The applicant may, port, submit comments to this Authority.          |
| 6. The figure of the <b>drawings</b> to be publ  | ished with the abstract is Figure No.  | 7   |
| as suggested by the appli  |  | None of the figures.  |
| because the applicant fail   |  |   |
| Decause this ligure better   | characterizes the invention.   |   |

International Application No T/EP 00/07874

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C12N5/20 C07K16/28 A61P7/02

A61K39/395

C12N15/13

C12Q1/68

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) C07K IPC 7

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

BIOSIS, EMBASE, WPI Data, PAJ, EPO-Internal

| Category °   | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.                   |
|--------------|--|---|
| X            | F. PARETI ET AL.: "Interaction of porcine von Willebrand factor with the platelet glycoproteins Ib and IIb/IIIa complex." BRITISH JOURNAL OF HAEMATOLOGY, vol. 82, no. 1, September 1992 (1992-09), pages 81-86, XP000914679 Oxford, GB abstract / | 2-8,10,                                 |
| χ Furt       | her documents are listed in the continuation of box C.     X   Patent family meaning the continuation of box C.  | embers are listed in annex.             |
| ° Special ca | ategories of cited documents:  | hed after the international filing date |

\*E\* earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

document published prior to the international filing date but later than the priority date claimed

invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of mailing of the international search report

Date of the actual completion of the international search

14 February 2001

21/02/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer

Nooij, F

International Application No T/EP 00/07874

| MENIS CUNSIDERED TO BE RELEVANT  |   |
|--|---|
| MENTS CONSIDERED TO BE RELEVANT  locument, with indication where appropriate, of the relevant passages   | Relevant to claim No.   |
|  | THOROUGH TO CRAINTING.  |
| platelet glycoprotein Ib antibody on<br>static function in the guinea pig."<br>D,<br>74, no. 2,<br>gust 1989 (1989-08-01), pages 690-694,<br>0914660<br>York, NY, USA<br>ract  | 2-8,10,<br>11,14,<br>16,18,19   |
| gust 1994 (1994-08-09)   | 3,14,16,<br>18,19   |
| acterization of the CD42 (GPIB/IX) MAB 1." In: Leucocyte typing V: White cell erentiation antigens. vol. 2, no. 2, , pages 1336-1337. 2110444  | 1-25  |
| HUMAN SERVICES)<br>anuary 1996 (1996-01-23)  | 1-25  |
| ct of platelet glycoprotein<br>locking monoclonal antibody Fab<br>ments in nonhuman primates."<br>RIOSCLEROSIS, THROMBOSIS AND VASCULAR<br>OGY,<br>20, no. 5, May 2000 (2000-05), pages<br>-1353, XP000914634<br>as, TX, USA | 1-25  |
| ay 2000 (2000-05-11)<br>42, line 1 - line 11   | 2-16, 18-21   |
|  | ECKER ET AL.: "Effects of an platelet glycoprotein Ib antibody on static function in the guinea pig."  D, 74, no. 2, gust 1989 (1989-08-01), pages 690-694, 0914660 York, NY, USA ract scussion *  336 667 A (KIRBY ET AL.) gust 1994 (1994-08-09) whole document  ARD ET AL.: "Epitope and functional acterization of the CD42 (GPIB/IX) MAB 1." In: Leucocyte typing V: White cell erentiation antigens. vol. 2, no. 2, pages 1336-1337. 2110444 whole document  486 361 A (U.S. DEPARTMENT OF HEALTH HUMAN SERVICES) anuary 1996 (1996-01-23) whole document  AUWENBERGHS ET AL.: "Antithrombotic ct of platelet glycoprotein locking monoclonal antibody Fabments in nonhuman primates." RIOSCLEROSIS, THROMBOSIS AND VASCULAR OGY, 20, no. 5, May 2000 (2000-05), pages -1353, XP000914634 as, TX, USA whole document  0 26667 A (J. MILLER) ay 2000 (2000-05-11) 42, line 1 - line 11 ims |

Programation on patent family members

T/EP 00/07874

|            | Patent document cited in search report |            | Patent family member(s) |                                     | Publication date                       |
|------------|--|------------|-------------------------|-------------------------------------|--|
| US 5336667 | Α                                      | 09-08-1994 | AU<br>MX<br>WO          | 3232393 A<br>9206960 A<br>9311151 A | 28-06-1993<br>01-12-1993<br>10-06-1993 |
| US 5486361 | Α                                      | 23-01-1996 | NONE                    |                                     |  |
| W0 0026667 | Α                                      | 11-05-2000 | AU<br>EP                | 1458500 A<br>1051620 A              | 22-05-2000<br>15-11-2000               |



# **PCT**

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference K1596-PCT |                        | ents file reference   | FOR FURTHER ACTION  |                          | ation of Transmittal of International / Examination Report (Form PCT/IPEA/416)   |
|---|------------------------|---|---|--------------------------|--|
| International                                   |                        | cation No.  | International filing date (day/mont                                       | h/year)                  | Priority date (day/month/year)   |
| PCT/EP00  | - '                    |   | 08/08/2000  |                          | 10/08/1999   |
| C07K16/2  | 8                      |   | national classification and IPC   |                          |  |
| K.U.LEUV  | EN                     | RESEARCH & DEV  | ELOPMENT  |                          |  |
| and is<br>2. This R<br>⊠ Th                     | trans<br>EPO<br>nis re | RT consists of a total port is also accompar                                      | of 9 sheets, including this cover so                                      | sheet.<br>ne description | ernational Preliminary Examining Authority  n, claims and/or drawings which have |
|   |                        |   | pasis for this report and/or sheets of 607 of the Administrative Instruct | _                        | ectifications made before this Authority ne PCT).                                |
| These   | ann                    | exes consist of a total   | of 7 sheets.  |                          |  |
|   |                        |   | <i>:</i>  |                          |  |
| 3. This re                                      | port<br>⊠              | contains indications re<br>Basis of the report                                    | elating to the following items:   |                          |  |
| II  | $\boxtimes$            | Priority  |   |                          |  |
| Ш   | ×                      |   | f opinion with regard to novelty, in                                      | ventive step             | and industrial applicability   |
| V   |                        |   |   | novelty, inve            | entive step or industrial applicability;   |
| VI  | $\boxtimes$            | Certain documents   |   |                          |  |
| VII   |                        |   | e international application   |                          |  |
| VIII  | Ø                      |   | on the international application  |                          |  |
| Date of subn                                    | nissic                 | on of the demand  | Date of   | completion of            | this report  |
| 02/03/200                                       | 1                      |   | 16.11.2   | 2001                     |  |
|   | xami                   | address of the internation  | nal Authori   | zed officer              | SURPONES MAI CUTE  |
| <u>)</u> ))                                     | D-80<br>Tel.           | pean Patent Office<br>298 Munich<br>+49 89 2399 - 0 Tx: 523<br>+49 89 2399 - 4465 | '   | er, F<br>one No. +49 89  | 2399 7722  |



International application No. PCT/EP00/07874

| I. Basis d | f the report |
|------------|--------------|
|------------|--------------|

| l.   | Bas   | is of the report                                 |   |                  |                         |                         |  |  |
|--|---|--|---|------------------|-------------------------|-------------------------|--|--|
| 1.   | With regard to the <b>elements</b> of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)): <b>Description, pages:</b> |  |   |                  |                         |                         |  |  |
|  | 1-4,  | 7-30   | as originally filed   |                  |                         | ; <del>**</del>         |  |  |
|  | 5,6   |  | as received on  | 11/10/2001       | with letter of          | 11/10/2001              |  |  |
|  | Clai  | ims, No.:  |   |                  |                         |                         |  |  |
|  | 1-43  | 3  | as received on  | 11/10/2001       | with letter of          | 11/10/2001              |  |  |
|  | Dra   | wings, sheets:                                   |   |                  |                         |                         |  |  |
|  | 1/11  | 1-11/11  | as originally filed   |                  |                         |                         |  |  |
|  | Seq   | Sequence listing part of the description, pages: |   |                  |                         |                         |  |  |
|  | 4, fil  | ed with the letter o                             | f 11.10.2001  |                  |                         | •                       |  |  |
| <ol> <li>With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.</li> </ol> |   |  |   | •                |                         |                         |  |  |
|  | The   | se elements were a                               | available or furnished to this Aut                                | hority in the fo | ollowing language: ,    | which is:               |  |  |
|  |   | the language of a                                | translation furnished for the pur                                 | poses of the i   | nternational search (ui | nder Rule 23.1(b)).     |  |  |
|  |   | the language of pu                               | ublication of the international app                               | olication (und   | er Rule 48.3(b)).       |                         |  |  |
|  |   | the language of a 55.2 and/or 55.3).             | translation furnished for the purp                                | ooses of inter   | national preliminary ex | kamination (under Rule  |  |  |
| 3.   |   | •  | cleotide and/or amino acid seq<br>y examination was carried out o | •                |                         |                         |  |  |
|  |   | contained in the in                              | iternational application in written                               | form.            |                         | -                       |  |  |
|  |   | filed together with                              | the international application in c                                | omputer read     | lable form.             |                         |  |  |
|  | $\boxtimes$   | furnished subsequ                                | ently to this Authority in written                                | form.            |                         |                         |  |  |
|  |   | furnished subsequ                                | ently to this Authority in comput                                 | er readable fo   | orm.                    | •                       |  |  |
|  | $\boxtimes$   |  | t the subsequently furnished wri                                  |                  | e listing does not go b | eyond the disclosure in |  |  |

☐ The statement that the information recorded in computer readable form is identical to the written sequence

listing has been furnished.



International application No. PCT/EP00/07874

| 4.   | . The amendments have resulted in the cancellation of:  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|
|  |   | the description,   | pages:   |  |  |  |  |
|  |   | the claims,  | Nos.:  |  |  |  |  |
|  |   | the drawings,  | sheets:  |  |  |  |  |
| 5.   |   | established as if (some of) the amendments had not been made, since they have been ond the disclosure as filed (Rule 70.2(c)): |  |  |  |  |  |
|  |   | (Any replacement sh<br>report.)  | eet containing such amendments must be referred to under item 1 and annexed to this  |  |  |  |  |
| 6.   | Add   | itional observations, i  | necessary:   |  |  |  |  |
| II.  | Prio  | ority  |  |  |  |  |  |
| 1.   | 1.  This report has been established as if no priority had been claimed due to the failure to furnish within the prescribed time limit the requested: |  |  |  |  |  |  |
|  |   | ☐ copy of the earlie   | er application whose priority has been claimed.  |  |  |  |  |
|  |   | ☐ translation of the   | e earlier application whose priority has been claimed.   |  |  |  |  |
| 2.   This report has been established as if no priority had been claimed due to the fact that the priority clair been found invalid. |   |  |  |  |  |  |  |
|  | Thus for the purposes of this report, the international filing date indicated above is considered to be the relevant date.                            |  |  |  |  |  |  |
| 3.   | Additional observations, if necessary: see separate sheet   |  |  |  |  |  |  |
| HI.  | Non   | n-establishment of o   | oinion with regard to novelty, inventive step and industrial applicability   |  |  |  |  |
| 1.   |   | •  | e claimed invention appears to be novel, to involve an inventive step (to be non-<br>ally applicable have not been examined in respect of:       |  |  |  |  |
|  |   | the entire international   | al application.  |  |  |  |  |
|  |   | claims Nos   |  |  |  |  |  |
| be   | caus  | e:   |  |  |  |  |  |
|  | $\boxtimes$   |  | application, or the said claims Nos. 30-37 relate to the following subject matter which nternational preliminary examination ( <i>specify</i> ): |  |  |  |  |
|  |   | the description, claim   | s or drawings (indicate particular elements below) or said claims Nos. are so unclear  |  |  |  |  |

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP00/07874

|  |      | that no meaningful opin                    | ion coul    | d be form        | ned (specify):   |
|--|------|--|-------------|------------------|--|
|  |      | the claims, or said claim could be formed. | ns Nos.     | are so in        | adequately supported by the description that no meaningful opinior |
|  |      | no international search                    | report h    | as been (        | established for the said claims Nos                                |
| 2. A meaningful international preliminary examination cannot be carried out due to the failure of and/or amino acid sequence listing to comply with the standard provided for in Annex C of th Instructions: |      |  |             |                  |  |
|  |      | the written form has not                   | been fu     | ırnished o       | or does not comply with the standard.                              |
|  |      | the computer readable f                    | orm has     | s not bee        | n furnished or does not comply with the standard.                  |
| ٧.   |      | soned statement under                      |             |                  | ith regard to novelty, inventive step or industrial applicability; |
| 1.   | Stat | ement                                      |             |                  |  |
|  | Nov  | elty (N)                                   | Yes:<br>No: | Claims<br>Claims | 1-43   |
|  | Inve | entive step (IS)                           | Yes:<br>No: | Claims<br>Claims | 1-43   |
|  | Indu | istrial applicability (IA)                 | Yes:<br>No: | Claims<br>Claims | 1-43 (30-37?)  |
|  |      |  |             |                  |  |

# VI. Certain documents cited

2. Citations and explanations

see separate sheet

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

see separate sheet

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

#### Re Item I

### **Basis of the report**

Sequence listings filed, 4 pages, Seq ids 1-4, with the letter of 06.12.2000 and 11.10.2001, are filed after the filing date of the application and do not form part of the description and will not be annexed to this communication/report (Rule 13ter.(f) PCT).

#### Re Item II

#### **Priority**

The subject-matter of claims 1-38 is entitled to the claimed priority date (10.08.1999). The subject-matter of claim 39 is entitled to claimed priority date of 02.02.2000. The subject-matter of claims 40-43 is not entitled to any claimed priority dates, therefore the relevant date for this subject-matter is the date of filing (08.08.2000). Therefore the cited P-document (Cauwenberghs et al., published 05.2000) and D4 (published 11.05.2000) of the International Search Report is relevant prior art for subject-matter of claim 40-43 in respect to inventive step within the meaning of Article 33 (3) PCT.

#### Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

Claims 30-37 relate to subject-matter considered by this Authority to be covered by the provisions of Rule 67.1 (iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

#### Re Item V

Reasoned statement under Articl 35(2) with regard to nov lty, inventiv step or industrial applicability; citations and explanations supporting such statement

into account.

- Reference is made to the following documents: 1.
  - D1: F. PARETI ET AL.: BRITISH JOURNAL OF HAEMATOLOGY, vol. 82, no. 1, September 1992 (1992-09), pages 81-86,
  - D2: B. BECKER ET AL.: BLOOD, vol. 74, no. 2, 1 August 1989 (1989-08-01), pages 690-694,
  - D3: US-A-5 336 667
  - D4: WO-A1-002667
  - D5: N. CAUWENBERGHS ET AL.: ARTERIOSCLEROSIS, THROMBOSIS AND VASCULAR BIOLOGY, vol. 20, no. 5, May 2000 (2000-05), pages 1347-1353
- The subject-matter of claim 1 is novel (Article 33 (2) PCT). 2.
- The subject-matter of claim 1 is not inventive (Article 33 (3) PCT). 2.1 D1 describes a monoclonal antibody, LJCP1, which is able to bind GPIb and therewith inhibits the binding of von Willebrand factor (see abstract and p.82, 2.col. last par.-p.83, 2.col. 1.par.; p.85,1.col., 1.par.). D1 therefore is considered to provide a method for inhibiting the interaction of von Willebrand factor with platelets which interact with the formation of thrombocytopenia (p. 81, 1.col., 1.par.).

D2 discloses the murine monoclonal antibody, PG-1, which recognizes GPIb in guinea pig platelets and therefore also inhibits the von Willebrand factor dependent platelets agglutination (see abstract and p.690, 1.col., 1.par.). The action of full PG-1 antibody and fragments thereof (F(ab)2) were tested on prolongation of the template bleeding time (see p. 693, 1.col., 1. par.), which shows no significant prolongation of the template bleeding time by the application of F(ab')2. Furthermore D2 discusses the potential role of the PG-1 antibody in antithrombotic treatments (p. 693, 1. col., 1. par. and 2. col., last par.).

: [:::

**EXAMINATION REPORT - SEPARATE SHEET** 

D1 and D2 are regarded as close prior art for the subject-matter of claim 1. The subject-matter of claim 1 differs to D1 or D2 by providing a cell line, LMBP 5108CB, which is producing an antibody having the same functional features as the antibodies described in D1 or D2, namely the binding to GPIb and therewith inhibiting the binding of von Willebrand factor to GPlb.

The problem to be solved by the present application (Claim 1) may therefore be regarded as providing a different antibody.

The solution is given in the present application by providing the monoclonal antibody 6B4 which is produced by the cell line LMBP5108CB.

The production of monoclonal antibodies by hybridoma techniques is considered to be a standard procedure in this technical field. In addition also if D1 not provides experimental data for the in vivo use of the LJCP1 antibody, D1 would prompt the person skilled in the art to use such antibodies or fragments thereof, which have the same technical features as the LJCP1 antibodies (namely the binding to GPIb), also in vivo. As no other special technical and functional features for the antibody 6B4, in comparison to the antibodies which are already described in the prior art (see D1 or D2), can be detected, the provision of antibody 6B4 is considered as an alternative solution to an already solved problem. The requirements for inventive step for the antibody as well for the cell line producing it are therefore not fulfilled (Article 33 (3) PCT).

- Thereon dependent claims 2-6 are considered not to introduce additional technical features which in the light of the prior art (D1 and D2) seems to be special. Thus an inventive step for the subject-matter of claims 2-6 can not be acknowledged.
- 3. The subject-matter of claim 7 is not inventive (Article 33 (3) PCT). As it has been already discussed under point 2.1 (see above) D1 and D2 provide antibodies and fragments thereof which are able to bind to GPIb and therewith inhibit the interaction of the von Willenbrand factor with GPIb. Also the provision of Fab fragments for in vivo application seems to be standard procedure in the technical field and therefore can not be acknowledged as inventive.

The same hold true for the subject-matter of claim 8.

# INTERNATIONAL PRELIMINARY

International application No. PCT/EP00/07874

- **EXAMINATION REPORT SEPARATE SHEET**
- 3.2 The subject-matter of thereon dependent claims 9-17 and claims 18-37 seems not to introduce additional subject-matter which can be acknowledged as inventive with respect to D1 and D2. D2 also describes the in vivo use of a monoclonal antibody, PG-1, in a concentration of 1.3mg/kg, see abstract, and therefore already describes a concentration which falls within the range of the claimed subject-matter of claim 31. Thus the requirements of Article 33(3) PCT for claims 9-17 and 18-37 are not fulfilled.
- 4. The subject-matter of claims 38,39 and 40-43 is novel (Article 33 (2) PCT). The subject-matter of claims 20,21 and 22-25 lacks inventive step (Article 33 (3) PCT).

Following the reasoning that the claimed antibody and fragments thereof lacks inventive step (see 2.1) also the provision of amino acid sequences, nucleic acid sequences and DNA probes therefore is considered at present as a routine skill in particular also in view of D4 and D5, the subject-matter of claims 38,39 and 40-43 therefore also does not fulfil the requirements of inventiveness (Article 33 (3) PCT).

#### Re Item VI

## Certain documents cited

Certain published documents (Rule 70.10)

Application No Patent No

Publication date (day/month/year)

Filing date (day/month/year) Priority date (valid claim) (day/month/year)

WO-A1-0026667

11.05.2000

29.10.1999

30.10.1998

The intermediate document discloses antibody fragments capable of inhibiting von Willebrand factor dependent aggregation of platelets by binding to Gplb and therefore are useful as anti-thrombotic agents (see page 33, lines 7-24).

This document therefore could play a role in the national or regional phase (EPO

(Article 54(3) EPC) in respect to novelty of claims 7,8,18,20,30,32,38 and 39 and novelty/inventive step to subject-matter not entitled to the claimed priority (claims 40-43).

# Re Item VIII

# Certain observations on the international application

- The expression "homologue" in claims 7-13,25-30,32 and 36-38 is not clear 1. (Article 6 PCT).
  - The homologues should be defined by technical features e.g. amino acid sequences.
- The expressions "shear" or "high shear" condition in claims 11 and 12 are not clear (Article 6 PCT) and therefore should be defined by the definition given in the description e.g. on p.18, line 18.

11-10-2001

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thrombogenesis. They include the use of anti-vWF monoclonal antibodies, GPIb binding snake venom proteins like echicetin and crotalin, aurin tricarboxylic acid that binds to vWF and recombinant vWF fragments like VCL, all of which inhibit vWF-GPIb interaction. All these molecules were antithrombotic, particularly in studies where a thrombus was formed under high shear conditions. U.S.Patent 5,486,361 discloses a monoclonal antibody 4H12 which specifically binds to the a chain of GPIb and, by means of this interaction, totally inhibits the binding of thrombin to normal human platelets. In addition, it inhibits more than 90% of thrombin-induced von Willebrand factor or fibrinogen binding to platelets. Further, 4H12 does not inhibit ristocetin- or botrocetin-induced binding of von Willebrand factor to platelet cells, which indicates that this antibody does not prevent von Willebrand factor binding to GPIb. A number of potent inhibitory anti-GPIb antibodies, such as LJIb1 disclosed by F.Pareti et al. in British Journal of Haematology (1992) 82, 81-86, have been produced and were extensively tested with respect to their in vitro effect under both static (platelet agglutination, vWF-binding) and flow conditions. However for none of these anti-human GPIb antibodies an in vivo anti-thrombotic effect could be demonstrated. In vivo data obtained by B.Becker and J.L.Miller (Blood (1989)2:680-694) describe the effect of injecting guinea pigs with intact antibody or F(ab')2 fragments of PG1, a monoclonal anti-guinea pig GPIb antibody. After intraperitoneal injection of the intact antibody, a hemorrhagic state was produced with a significant lengthening of the bleeding time and drop of the platelet count to 50% of its baseline value. Injection of 0.63 to 2.5 mg/kg of the F(ab')2 fragments did not decrease the platelet count more than 21%, and bleeding times never increased by more than one minute over baseline values. However, in this particular study the antithrombotic effect of the F(ab')2 fragments was not further investigated by e.g. testing the fragments in an animal thrombosis model. In a follow-up study J.L.Miller et al., Arterioscler. Thromb. (1991) 11:1231-6 disclosed that the F(ab')₂ fragments of PG1 in guinea pigs using these could effectively reduce thrombus formation on a laser-induced injury. Unfortunately, this antibody does not cross react with human plat lets and therefore it has no further clinical relevance for human therapy.

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Part of this rather surprising lack of *in vivo* studies is due to the low cross reactivity of the anti-human GPIb monoclonal antibodies with platelets from commonly used laboratory animals. This predisposes to the use of non-human primates as experimental animals. However, even then attempts to perform *in vivo* studies are hampered because injection of the anti-GPIb monoclonal antibodies, as well as the snake venom protein echicetin that reacts with GPIb, invariably causes severe thrombocytopenia, as taught by US-A-5,336,667. WO-A-002667 further discloses monoclonal antibodies F<sub>ab</sub> fragments but does not discuss thrombocytopenia and does not mention *in vivo* tests.

One persistent concern with all available thrombolytic and antithrombotic agents, including aspirin, is to induce a risk of overdose and therefore of excessive and life-threatening bleeding. Therefore a first goal of the present invention is to provide a thrombus formation protective means by providing a platelet adhesion inhibitor that does not induce a risk of bleeding. A second goal of the present invention is to provide a thrombus formation protective means by providing an inhibitor of platelet adhesion without incurring the risk of thrombocytopenia. A third goal of the present invention is the targetting of platelet adhesion, activation and aggregation under high shear conditions, which is of particular importance in the setting of highly stenotic atherosclerotic lesions. The specific targetting of highly stenotic areas in the circulation should make GPIb inhibition particularly suitable for treating unstable angina and in the chronic prevention of arterial occlusion. Unlike with GPIIb/IIIa inhibition, platelet aggregation as well as hemostasis is not expected to be inhibited in low shear vessels, i.e. in veins and normal arteries. Bleeding complications from these vessels by inhibition of GPIb may therefore be expected to be better reduced than with GPIIb/IIIa inhibition.

#### SUMMARY OF THE INVENTION

The essence of this invention is that by using a ligand such as a monovalent Fab fragment of a certain inhibitory human GPIb antibody, a marked prevention of platelet d pendent thrombus formation targetted to high shear flow vessels and without incurring thrombocytopenia can be obtained. Moreover, this is so far the only anti-human GPIb monoclonal antibody for

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CLAIMS

- 1. A cell line deposit d with the Belgian Coordinated Collections of Microorganisms, under accession number LMBP 5108CB, being able to produce a monoclonal antibody comprising a F<sub>ab</sub> fragment which binds in vivo to human platelet glycoprotein GPIb.
- 2. A cell line producing a monoclonal antibody having a reactivity identical to that of a monoclonal antibody obtained from the cell line of claim 1.
- 3. A cell line according to claim 1 or claim 2, wherein the monoclonal antibody F<sub>ab</sub> fragment further prevents the binding of von Willebrand factor to human platelet glycoprotein GPlb.
- 4. A cell line according to any of claims 1 to 3, wherein the monoclonal antibody F<sub>ab</sub> fragment further inhibits platelet adhesion.
- 5. A cell line according to any of claims 1 to 4, wherein the monoclonal antibody F<sub>ab</sub> fragment further inhibits platelet activation under high shear conditions.
- 6. A cell line according to any of claims 1 to 5, wherein the monoclonal antibody F<sub>ab</sub> fragment further inhibits platelet aggregation under high shear conditions.
- 7. A F<sub>ab</sub> fragment, or a homologue having at least 60% amino acid sequence identity therewith, of a monoclonal antibody which binds *in vivo* to human platelet glycoprotein GPIb without incurring thrombocytopenia.
- 8. A monoclonal antibody F<sub>ab</sub> fragment or a homologue threreof according to claim 7, which prevents the binding of von Willebrand factor to human platelet glycoprotein GPIb.

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- 9. A monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to claim 7 or claim 8, which does not produce thrombocytopenia when administered to a primate at a dose of up to at least 4 mg/kg by bolus intravenous administration.
- 10. A monoclonal antibody  $F_{ab}$  fragment or a homologue thereof according to any of claims 7 to 9, which further inhibits platelet adhesion.
- 11.A monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to any of claims 7 to 10, which further inhibits platelet activation under high shear conditions.
- 12.A monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to any of claims 7 to 11, which further inhibits platelet aggregation under high shear conditions.
- 13.A monoclonal antibody comprising a F<sub>ab</sub> fragment or a homologue thereof according to any of claims 7 to 12.
- 14.A monoclonal antibody according to claim 13, being produced by on purpose immunization in animals.
- 15.A monoclonal antibody obtainable from the cell line of claim 1.
- 16.A monoclonal antibody according to claim 15, being the murine monoclonal antibody 6B4.
- 17.A monoclonal antibody obtainable from a cell line according to any of claims 2 to 6.
- 18.A humanized monoclonal antibody derivable from the cell line of claim 1 or from a monoclonal antibody according to claim 15 or claim 16.
- 19.A humanized monoclonal antibody obtainable from a cell line according to



any of claims 2 to 6 or from a monoclonal antibody according to claim 17.

- 20.A pharmaceutical composition comprising a monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to any of claims 7 to 12 in admixture with a pharmaceutically acceptable carrier.
- 21.A pharmaceutical composition according to claim 20, further comprising a therapeutically effective amount of a thrombolytic agent.
- 22.A pharmaceutical composition according to claim 21, wherein the thrombolytic agent is selected from aspirin, heparin, tissue plasminogen activators, streptokinase, reptilase and staphilokinase.
- 23.A pharmaceutical composition according to any of claims 20 to 22, for the prevention or treatment of a haemostasis disorder.
- 24. A pharmaceutical composition according to any of claims 20 to 23, for oral, intranasal, subcutaneous, intramuscular, intradermal, intravenous, intraarterial or parenteral administration or for catheterization.
- 25.A monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to any of claims 7 to 12 for use as a medicament.
- 26.A monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to claim 25, wherein the medicament is for the prevention or treatment of a haemostasis disorder.
- 27.A monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to claim 25 or claim 26, for simultaneous or sequential association with at least a thrombolytic agent.
- 28.A monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to claim 27, wherein the thrombolytic agent is select d from aspirin, heparin, tissue plasminogen activators, streptokinase, reptilase and staphilokinase.

- 29.A monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to any of claims 25 to 28, for oral, intranasal, subcutaneous, intramuscular, intradermal, intravenous, intraarterial or parenteral administration or for catheterization.
- 30. A method of treatment and/or prevention of a haemostasis disorder comprising administering to a patient in need thereof a therapeutically effective amount of a monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to any of claims 7 to 12.
- 31.A method of treatment and/or prevention according to claim 30, wherein the therapeutically effective amount ranges from 80 µg/kg to 4 mg/kg.
- 32.A method for the treatment and/or prevention of a haemostasis disorder without inducing thrombocytopenia, comprising administering to a patient in need thereof a therapeutically effective amount of a monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to any of claims 7 to 12.
- 33. A method of treatment and/or prevention according to claim 32, wherein the therapeutically effective amount ranges from 80 µg/kg to 4 mg/kg.
- 34. A method according to any of claims 30 to 33, comprising further administration of at least a thrombolytic agent.
- 35.A method according to claim 34, wherein the thrombolytic agent is selected from aspirin, heparin, tissue plasminogen activators, streptokinase, reptilase and staphilokinase.
- 36.A method according to claim 34 or 35, wherein the thrombolytic agent is administered simultaneously with the monoclonal antibody  $F_{ab}$  fragment or a homologue ther of.



- 37.A method according to claim 34 or 35, wh rein the thrombolytic agent is administered sequentially with the monoclonal antibody F<sub>ab</sub> fragm into a homologue thereof.
- 38. A polynucleotide encoding for an antigen-binding monoclonal antibody F<sub>ab</sub> fragment or a homologue thereof according to any of claims 7 to 12.
- 39. A DNA probe for detecting the polynucleotide sequence of claim 38, comprising a nucleic acid molecule having a sequence complementary to the coding sequence of said polynucleotide.
- 40. A polynucleotide sequence as shown in SEQ.N°1.
- 41. A polynucleotide sequence as shown in SEQ.N°2.
- 42. An amino acid sequence as shown in SEQ.N°3.
- 43. An amino acid sequence as shown in SEQ.N°4.

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# 33 CLAIMS

Cell line deposited with the Belgian Coordinated Collections of Micro
-organisms, under accession number LMBP 5108CB.

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- 2. A cell line producing monoclonal antibodies having a reactivity substantially identical to that of the monoclonal antibodies obtained from the cell line of claim 1.
- 3. A ligand which binds to the human platelet glycoprotein GPIb and prevents the binding of von Willebrand factor to said human GPIb.
  - 4. A ligand according to claim 3, which does not produce thrombocytopenia when administered to a primate at a dose of up to at least 4 mg/kg by bolus intravenous administration.
  - 5. A ligand derived from a monoclonal antibody obtainable from the cell lines of claim 1 or claim 2.
- 6. A ligand according to claim 5, which binds to the human platelet glycoprotein GPIb.
  - 7. A ligand according to claim 5 or claim 6, which prevents the binding of von Willebrand factor to the human platelet glycoprotein GPIb.

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- 8. A ligand according to any of claims 5 to 7, which does not produce thrombocytopenia when administered to a primate at a dose of up to at least 4 mg/kg by bolus intravenous administration.
- 9. A ligand according to any of claims 5 to 8, being a Fab fragment of the said monoclonal antibody.
  - 10. A ligand according to any of claims 5 to 9, being able to recognize an

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epitope located on human platelet glycoprotein GPIb.

- 11. A ligand according to any of claims 3 to 9 and being derived from a monoclonal antibody produced by on purpose immunization in animals.
- 12. A humanized or hybridized monoclonal antibody derivable from the monoclonal antibody of claim 11 or derivable from the cell lines of claims 1 or 2.
- 10 13. An antigen-binding Fab fragment or a homolog or derivative of a monoclonal antibody according to claims 11 or 12 or derived from the cell lines of claims 1 or 2.
- 14. A pharmaceutical composition, comprising a ligand according to any of claims 3 to 11, a humanized or hybridized monoclonal antibody according to claim 12 or an antigen-binding Fab fragment according to claim 13, in admixture with a pharmaceutically acceptable carrier.
- 15. A pharmaceutical composition according to claim 14, further comprising a thrombolytic agent in a form either for simultaneous or sequential use.
  - 16. Use of a ligand according to any of claims 3 to 11, a humanized or hybridized monoclonal antibody according to claim 12 or an antigen -binding Fab fragment according to claim 13 as a medicament.
  - 17. Use according to claim 16 in simultaneous or sequential association with at least a thrombolytic agent.
- 18. Use according to claim 16 or claim 17 for the treatment and/or prevention of a disorder of haemostasis.
  - 19. Use according to any of claims 16 to 18, wherein the said medicament is for oral, intranasal, subcutaneous, intramuscular, intradermal, intravenous,

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intraarterial or parenteral administration or for catheterization.

- 20. A polynucleotide encoding for an antigen-binding Fab fragment according to claim 13.
- 21. A DNA probe for detecting the polynucleotide sequence of claim 20, comprising a nucleic acid molecule having a sequence complementary to the coding sequence of said polynucleotide.
- 10 22. A polynucleotide sequence as shown in SEQ.N°1.
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  - 25. An amino acid sequence as shown in SEQ.N°4.

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